

Incubators, Freezers & Cooling Equipment

Characterisation of thermal excursions in cryogenically stored vials: implications for exceeding the glass transition temperature of water

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Biological samples stored below -150°C in liquid nitrogen (LN_2) vapour-phase freezers rely on the assumption that biochemical and degradative processes cease below the glass transition temperature of water ($T_{g,\text{H}_2\text{O}} \approx -134^{\circ}\text{C}$). However, transient temperature excursions during handling can expose samples to warming rates sufficient to exceed $T_{g,\text{H}_2\text{O}}$ within seconds. This study characterises the warm-up behaviour of single H_2O -filled cryovials during typical handling conditions and quantifies the risk of crossing $T_{g,\text{H}_2\text{O}}$. Results from experimental measurements and finite element simulations are used to inform best practices for safe cryogenic sample handling.

Introduction

Cryogenic storage is a cornerstone of long-term biological sample preservation. The critical threshold for sample stability is the water glass transition temperature ($T_{g,\text{H}_2\text{O}}$), below which molecular mobility and chemical activity are effectively halted. However, during handling - particularly transfers from LN_2 storage to ambient (RT) or -80°C dry ice environments - samples are susceptible to rapid thermal excursions. Understanding the dynamics of vial warm-up rates is crucial to mitigate degradation risk during such events.

Materials and Methods

Experimental Setup

- **Samples:** FluidX™ 1.0 mL and 2.0 mL vials, and Wheaton™ 2.0 mL vials, filled with 100% or 25% of maximum working volume (MWV) with deionised H_2O .
- **Storage:** Vials equilibrated in a vapour-phase LN_2 freezer at -173°C .
- **Measurement:** Vials instrumented with three 32-gauge Type T thermocouples positioned at:
 1. 2 mm below the water surface
 2. Vial centre
 3. Vial base
- **Warm-Up Conditions:** Vials warmed by suspension in ambient air or immersion in dry ice pellets; no user contact. Warm-up occurred under natural convection unless otherwise noted.

Simulation Setup

Finite element (FE) models were developed in ANSYS 15.0 and calibrated against empirical data. Ice properties were temperature-dependent; polypropylene vial properties were assumed at room temperature.

Results

Warm-Up Rates

- **Single Vials (-175°C to -120°C):** Warm-up rates ranged from 55 to $255^{\circ}\text{C}/\text{min}$.
- **Inside Cryoboxes:** Rates were reduced to 5.4 to $66.7^{\circ}\text{C}/\text{min}$.
- **Time to Exceed $T_{g,\text{H}_2\text{O}}$:**
 - o **RT Environment:** 24–40 s
 - o **Dry Ice:** 9–45 s (faster due to enhanced conduction and CO_2 convection)
- **Volume Effects:** Smaller H_2O volumes warmed 15–35% faster, but volume sensitivity was modest compared to environmental impact.
- **Thermal Uniformity:** In both environments, spatial temperature variation in vial interiors was $\leq 4^{\circ}\text{C}$ at any time, confirming near-uniform thermal distribution.

Table 1. Single tube warm-up rates¹ from -175°C storage to lab bench (21°C) or dry ice temperature [$^{\circ}\text{C}/\text{min}$]

H2O filled tubes	FluidX 1.0 ml vial	FluidX 2.0 ml vial	Wheaton 2.0 ml vial
RT, 100% MWV	94 – 101	55 – 62	57 – 62
RT, 25% MWV	101 – 112	67 – 72	70 – 76
Dry ice, 100% MWV	171 – 213	92 – 120	100 – 143
Dry ice, 25% MWV	195 – 255	106 – 141	164 – 192

1. Linearised warm-up rate in the -175°C to -120°C range.

Table 2. Cryobox stored tube warm-up rates¹ from -175°C storage to lab bench (21°C) [$^{\circ}\text{C}/\text{min}$]

H2O filled tubes	FluidX 1.0 ml vial ²	FluidX 2.0 ml vial ³	Biocision 2.0 ml vial ⁴	Wheaton 2.0 ml vial ⁵
Full rack, cover on	6.8 – 22.3	5.9 – 12.5	5.4 – 15.6	8.1 – 10.8
Partial rack, cover on	18.2 – 26.2	16.8 – 21.6	9.8 – 17.4	23.5 – 29.0
Full rack, cover off	15.0 – 36.9	13.0 – 19.8	6.9 – 20.9	10.2 – 24.3
Partial rack, cover off	36.2 – 50.4	28.5 – 66.7	11.5 – 25.8	34.9 – 44.0

1. Linearised warm-up rate in the -175°C to -130°C range. 2. 96 format storage tube with screw cap filled with 0.73 ml H_2O ; perforated rack underside. 3. 9x9 sample storage tube with screw cap filled with 1.8 ml H_2O , no perforated rack underside. 4. 9x9 TruCool hinged cryobox with LN_2 drain holes; tubes filled with 1.8 ml H_2O . 5. KeepIT-100 freezer box, tubes filled with 1.8 ml H_2O , perforated rack underside.

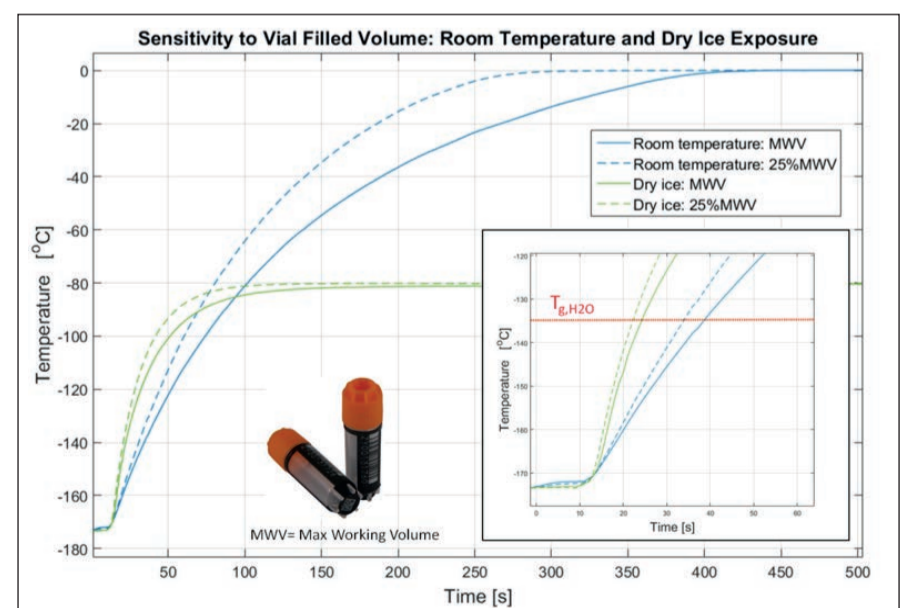


Figure 1: Warm-up rate sensitivity to volume and exposure environment. Lower H_2O volumes provide decreased thermal mass at equivalent heat energy absorbed by the vial. This corresponds to slightly increased warm-up rates (15 – 30%), yet the effect is not significant compared to a fast vial warm-up at $T < -90^{\circ}\text{C}$. Single vials in dry ice warm above $T_{g,\text{H}_2\text{O}}$ (-134°C) much faster than in an RT environment.

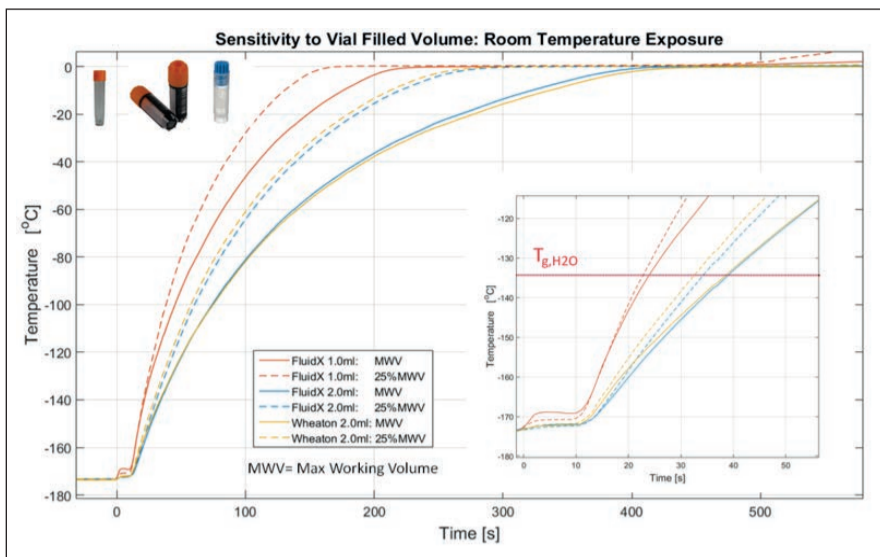


Figure 2: Vial warm-up rate sensitivity to H_2O volume in RT, interior sensor. Vials warmed to above T_{g,H_2O} at times ranging from 24 – 40 seconds. Initial temperature plateau detected in the $-173^{\circ}C$ to $-169^{\circ}C$ range is due to the short interval where vials sit inside the cryorack and cryobox when first extracted from the freezer. All vial interior sensors show comparable warm-up rate sensitivity to H_2O .

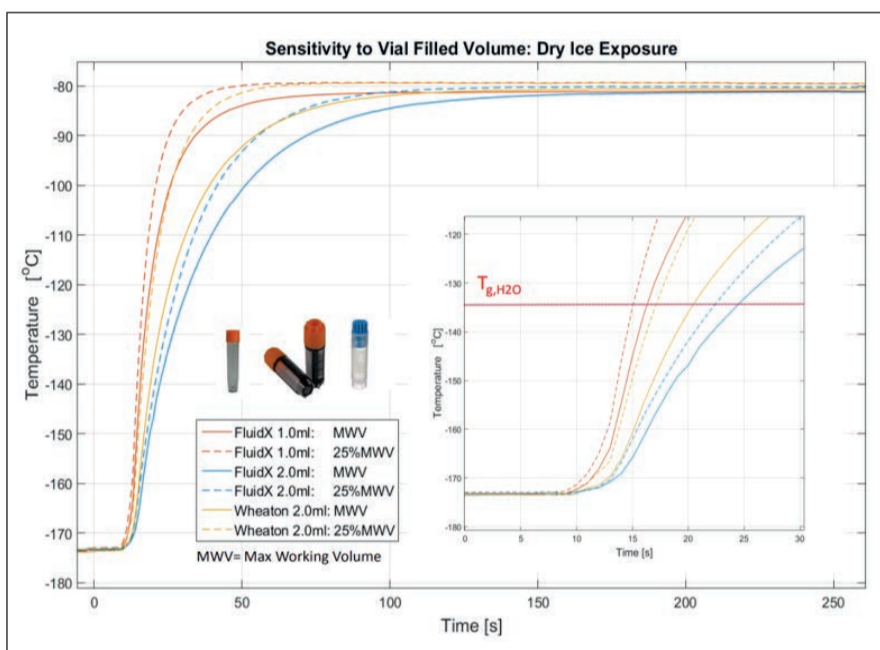


Figure 3: Vial warm-up rate sensitivity to H_2O volume in dry ice, interior sensor. Vials warmed to above T_{g,H_2O} at times ranging from 9 – 45 seconds. All vial interior sensors show comparable warm-up rate sensitivity to H_2O .

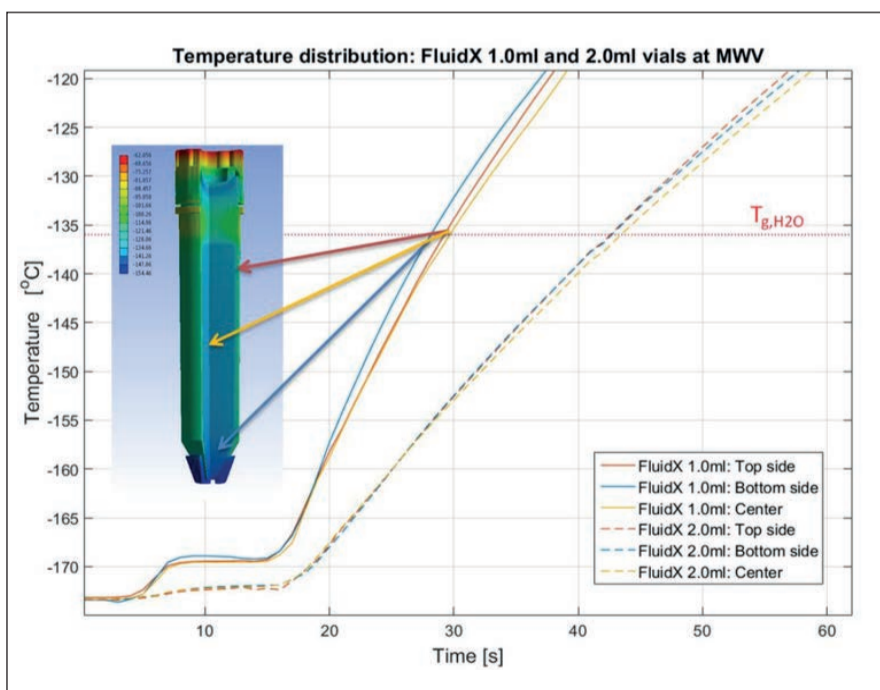


Figure 4: H_2O filled FluidX vial temperature distribution when extracted from cryostorage and exposed to RT. At any given time, the maximum spatial ice temperature variation is within $4^{\circ}C$ due to greater thermal diffusivity (α) of ice compared to polypropylene (PP) (e.g. $\alpha_{ice} (T -150^{\circ}C) = 1.07e-5 \text{ mm}^2/s$ vs. $\alpha_{PP} (T 22^{\circ}C) = \sim 1.29e-7 \text{ mm}^2/s$). Data indicates that H_2O temperature increase inside the vials is highly uniform with limited point-to-point spatial variations.

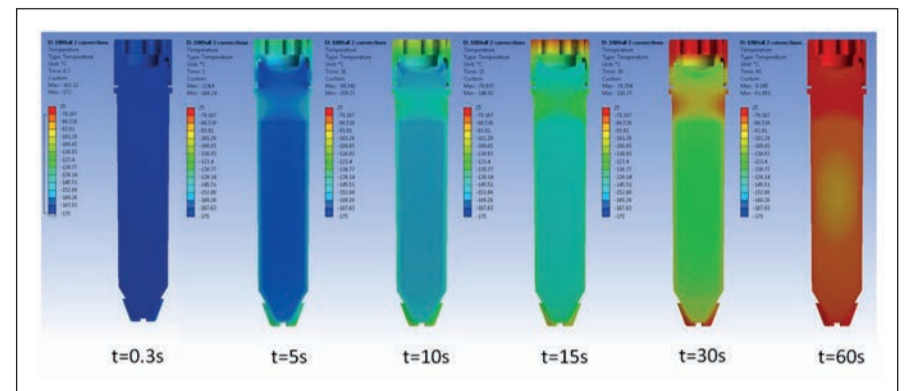


Figure 5: Simulated temperature distribution in a 100% MWV FluidX 1.0ml tube removed from cryostorage and exposed to RT conditions. Over time, the temperature is highly uniform throughout the entire sample volume.

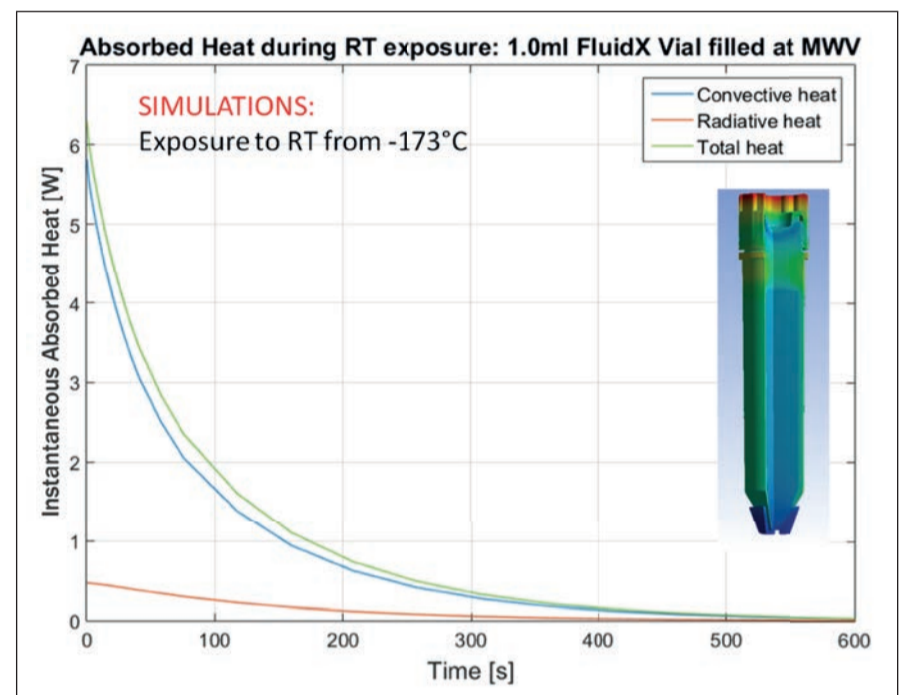


Figure 6: Simulated absorbed heat from the FluidX 1.0ml tube when warming from $-173^{\circ}C$ to $-1^{\circ}C$. Convective heat transfer is responsible for the greatest contribution of energy transferred from the environment to the tube whereas conduction through the TC wires was negligible and thus not simulated.

$$\text{Equation 1. } Q=h \cdot A \cdot (T_{env} - T_{vial}) \cdot t$$

Handling vials in environments $< -150^{\circ}C$ reduces absorbed heat by $>90\%$.

Equation 1: Calculation demonstrating how convective heat transfer into a vial can be greatly reduced by decreasing the environment temperature. For instance, handing a cryogenic vial in an environment below $-150^{\circ}C$ reduces the heat absorbed by the vial by more than 90%.

Simulation Insights

- Convective heat transfer dominated over conductive or radiative modes.
- Conductive heat through thermocouple wires was negligible.
- Simulated and measured warm-up profiles showed excellent agreement.
- Total absorbed energy could be reduced by $>90\%$ by maintaining handling environments below $-150^{\circ}C$.

Discussion

The rapid approach to T_{g,H_2O} during even brief exposure to warmer environments underscores the need for stringent cryogenic handling protocols. Notably, dry ice environments may pose greater thermal risks than ambient air below $-90^{\circ}C$ due to conductive heat from direct contact and enhanced convection from CO_2 sublimation. Cryoboxes with lids significantly reduce exposure rates and should be used whenever possible. Handling time should be minimised, and, ideally, all manipulations should occur within environments maintained below $-150^{\circ}C$.

Conclusion

Cryovials removed from LN_2 storage are at high risk of exceeding T_{g,H_2O} within seconds during handling. Warm-up rate is most strongly influenced by environmental temperature and vial exposure method, with minimal influence from vial volume or geometry. Cryogenic best practices should include:

- Keeping samples in cryoboxes with lids during handling.
- Limiting exposure time outside LN_2 environments.
- Performing handling operations in environments $\leq -150^{\circ}C$.

These steps help preserve biological sample integrity by preventing transient crossings above T_{g,H_2O} .